# Experience with the Standard and Planning for the Future

Nabanita Sen, Hans Posthumus and Aly Miehlbradt Friday 20 January 2012, Session 2 DCED Seminar on Trends and Results in PSD



The Donor Committee for Enterprise Development

#### **Presentation Outline**

- Overview of demand for the Standard
- Available support from DCED
- Update on progress made by programmes in applying the Standard
- The audit process and marking
- Clarifying the Standard
- Steps forward

Request from many programmes to get audited:

"We have been working on a M&E system for the past year and now seek to 'take it to the next level' for which we envision as a DCED audit."

"We are interested in scheduling an audit before September this year as we are having a program review and it would be good to see how we are progressing." Suggestion is to get a 'mock' audit to start with:

- Assess the current scenario
- Identify current gaps
- Suggest steps to get full compliance

Request for **Mock audits**: Propcom Nigeria, ECF Asia and Pacific, Swisscontact Indonesia LED, Mercy Corps Alliances Georgia, ILO SCORE

Audits: Katalyst Bangladesh Prosperity Initiative

### 2011: Demand for more support

- More agencies and programmes are looking for support to work towards the Standard:
- Requests made to DCED
  - Review results chains
  - Recommend consultants
  - Participate in different discussion forums: MaFI,
    SDC webinar, E-agriculture
  - Give more examples/ cases
  - How to apply the Standard in vocational training, challenge funds, Bee interventions
  - Conduct mock audit

#### 2011: Demand for more support

More agencies and programmes are looking for support to work towards the Standard:

- Increased demand for training courses (HPC, project specific training)
- EOIs and TORs asking for people experienced with the Standard

M4C tender in Bangladesh, 'a strong M&E framework based on results logics for each market system and its interventions in line with the DCED Standard.'

#### Available support from DCED

- Implementation guidelines (new version with more examples)
- Expanding list of practical pin-ups
- Audit Guidelines
- Case studies
- A guide to making results chains
- Quick comments on 'results chains' and other results measurement tools when shared by programmes/consultants

Some new programmes that have started applying different elements of the Standard

- GEMS Nigeria
- Trademark East Africa
- CLIP Solomon Islands
- SAMP South Africa
- GLOPSI worldwide
- RLDP
- M4C Bangladesh, amongst many others.

#### Where do most programmes stand currently

- A lot of programmes have only started drafting results chains and measurement plans
- Some impact assessments done, but not reflected back on logics
- Standard being applied to interventions in vocational training, challenge funds, business reforms, trade facilitation
- Few programmes have gone all the way to reporting results

Pymerural Nicargua: 15,628 SMEs have an increased sales of 67 million USD ¥

Propeom Nigeria: 1,254,180 beneficiaries have net income increase of 62 million USD. 17,633 new jobs have been created

A Global move to get more clear on key achievements in private sector development:

Trademark East Africa: 'If we don't spend some time unpacking the middle with results chains we risk relying on miracles; over-claiming our contribution to changes; and failing to learn.' Donna Loveridge

Swisscontact Katalyst: 'Incorporating the DCED Standard into Katalyst has been a challenging but vital process; the framework the standard provides has helped to guide and structure our monitoring and results measurement (MRM) system into a far more rationalised and thoughtful tool in our work.' Goetz Ebbecke

<u>GEMS 1 Nigeria</u>: 12,000 men and women in the leather sector will make an additional income of USD 9.7 million by 2014

SMEs were benefitted b an additional income of 133 million USD ¥

Katalyst Bangladesh: 1.033.000 farmers and

GIZ Thailand: 3000 smallholder farmers have increased income of 9.5 million USD VIP Indonesia: 15,400 tofu & tempe enterprises in Greater Jakarta have an increased profit of 10% by 2011

ECF Asia & Pacific: To date 1,629 suppliers and 889 small businesses have increased income

## Why auditing

Verifies whether a sound result measuring system is in place that produces valuable information to support management



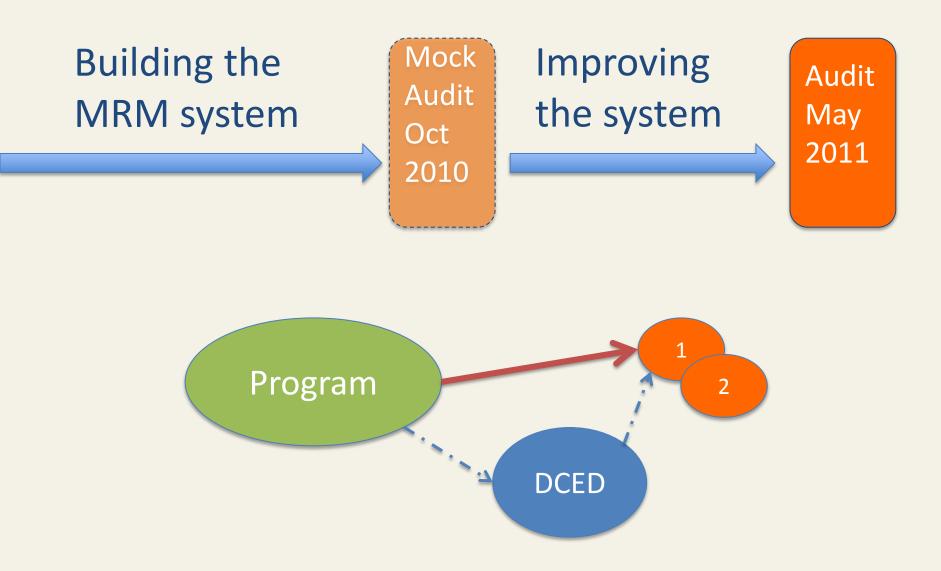


Assists programmes to improve its RM system

Whether there is a sound RM system that reports credible impact figures



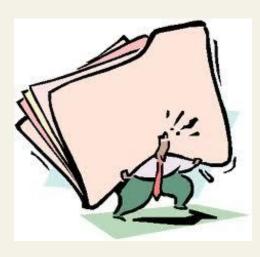
## The process



## How we audit

1. Familiarise and select representative sample





1. Review documentation for selected sectors



3. Interview the relevant people (MRM not beneficiaries)



3. Score selected interventions and sectors on the control points

## The scoring

Rating Scale	Description	Programme Rating
171-190	Strong results measurement system	
152-170	Reasonable results	
133-151	measurement system	
114-132	Moderate results	
95-113	measurement system	
76-94	with notable weaknesses	
57-75		
38-56	Weak results	
19-37	measurement system	
0-18		

Rather than Pass or Fail

## The audit reporting

Auditors write report and submit to DCED audit panel

Panel of auditors reviews report

Endorsed report submitted to client

Client submits observations to panel

Panel of auditors reviews

Final audit report signed by client & auditors

### Improvements to the Standard



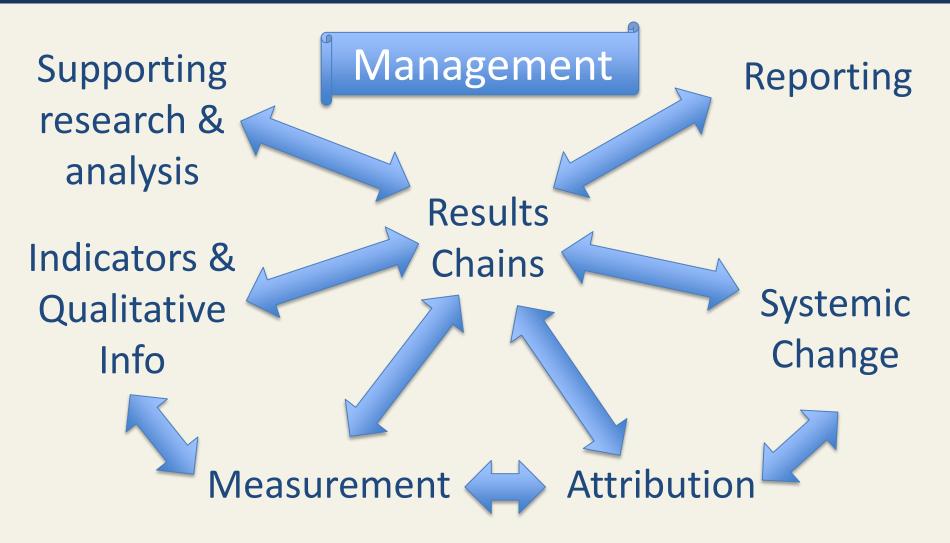


## Tidying Up the Standard

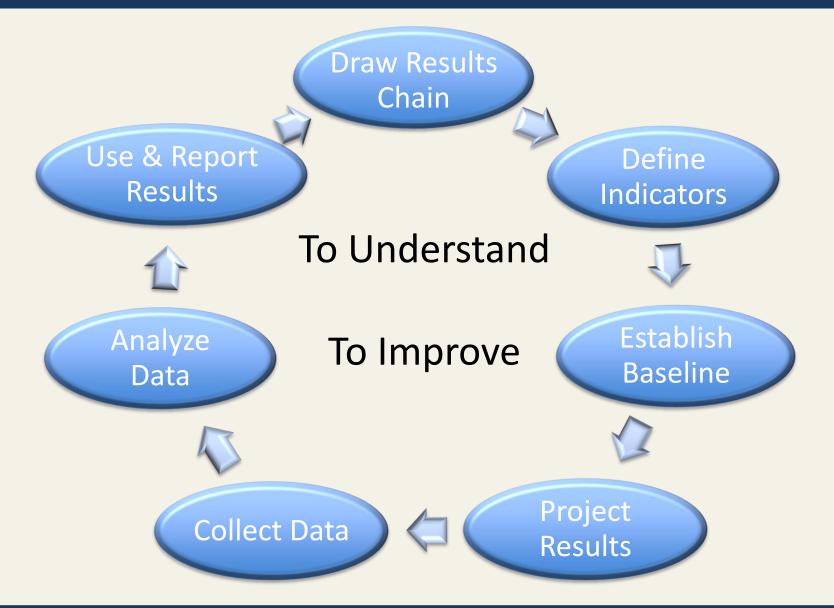
## Improving clarity of ...

- ✓ compliance criteria
- ✓ "in-place" and "in-use"
- ✓ qualitative information
- ✓ measurement and attribution
- ✓ wider systemic change
- ✓ reporting and management

## **Emphasizing Connections**

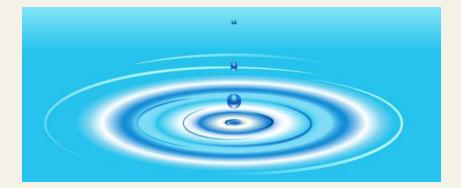


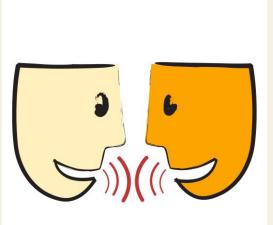
## **Emphasizing Connections**



#### Next Steps

Why do we want to improve monitoring, results measurement and management?



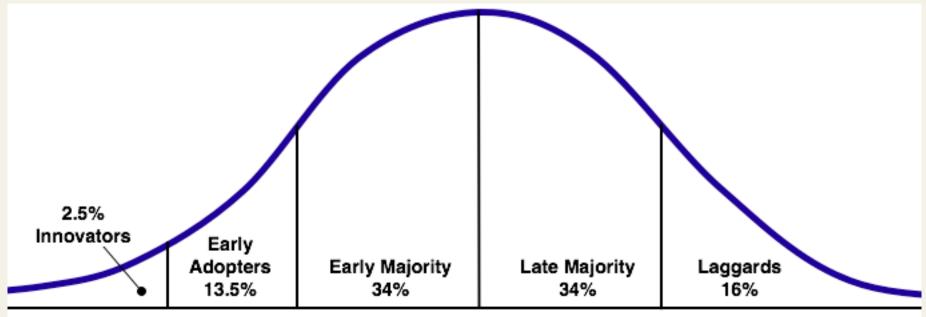


#### To maximize impact

#### To communicate

#### Next Steps

#### Getting to the tipping point...



Source: Everett Rogers Diffusion of Innovations model

### **Next Steps**

To achieve widespread and strong monitoring, results measurement and management...

- Invest in people
- Develop & package guidance
- Make connections
- Increase information
- Reduce transaction costs